

Scope

All DMH-Certified Providers.

Purpose

The purpose of this bulletin is to remind all DMH-Certified Providers that their agency's <u>revised</u> Policies and Procedures were due to DMH for review by January 1, 2025.

<u>Subject</u>

Rule 8.4 of the current DMH *Operational Standards* states, "The agency provider must have and comply with a written Policies and Procedures Manual which addresses all applicable administrative rules and requirements in the *DMH Operational Standards* for all services provided." The current *Operational Standards* became effective on November 1, 2024. Per Provider Bulletin PR0155 issued on October 2, 2024, DMH-Certified Providers were notified to begin revising their Policies and Procedures to align with the new *Operational Standards*. These revisions were due to DMH for review by January 1, 2025. Please be advised that this is the final notification to DMH-Certified Providers to submit their revised Policies and Procedures to DMH for review.

Further, DMH will not accept Applications to Add a New Program/Service or Modify an Existing Program until the DMH-Certified Provider's Policies and Procedures have been received and approved.

Attached is the MS Department of Mental Health Policy Development Guidelines for Interested and Certified Providers.

If you have any questions, please contact <u>certification@dmh.ms.gov</u>.

End of Provider Bulletin

MISSISSIPPI DEPARTMENT OF MENTAL HEALTH

Policy Development Guidelines for Interested and Certified Providers

The Mississippi Department of Mental Health requires interested and certified providers to develop policies and procedures in accordance with the most current *DMH Operational Standards* located at: <u>www.dmh.ms.gov/providers/documents</u>

Policies and procedures (P&P) establish the rules of conduct within your organization outlining the responsibilities of both employees and employers. It is a roadmap of how employees should implement and carry out day-to-day activities to meet expectations of the organization and adhere to federal, state and local laws and professional code of ethics. Policies and procedures are established to protect the rights of individuals being served, rights and benefits of employees as well as the business interest of the employer.

As an interested or certified provider of the Mississippi Department of Mental Health services, your agency must develop policies and procedures in accordance with the most current *DMH Operational Standards*. The *DMH Operational Standards* that must be addressed in your agency policies and procedures are as follows:

- Chapter 9: Quality Assurance 9.1.A.1 through 9.A.4, 9.B-E.1-4 (as applicable)
- **Chapter 10: Fiscal Management**
- **Chapter 11: Human Resources**
- Chapter 12: Staff Orientation, Development, and Continuing Education
- **Chapter 13: Health and Safety** 13.1 through 13.7, 13.8-13.11 (as applicable per certified service), and 13.13
- **Chapter 14: Rights of People Receiving Services**
- **Chapter 15: Incident Reporting**
- **Chapter 16: Service Organization**
- Chapter 17: Individual Planning of Treatment, Services, and Supports

In addition to the above referenced Chapters that must be addressed in your agency's policies and procedures, you must also include policies and procedures that are related to individual service areas. For example: if you are seeking to or currently provide Substance Use Disorder (SUD) services you will develop policies and procedures for the above referenced *DMH Operational Standard* chapters **and** chapters associated with those SUD services you are seeking certification and/or are currently certified to provide.

Policies and procedures must include a table of contents and corresponding page numbers within the policies and procedures document. Policies and procedures received without a table of contents and corresponding page numbers will be rejected. The following is an example of a **Table of Contents** with corresponding **page numbers**. Examples of Table of Contents can also be found with Google search.

TABLE OF CONTENTS

Rule 9.1 Quality Assurance page 45

POLICIES AND PROCEDURES

ABC agency has in place quality management strategies that allow for the collection of performance measures as required by DMH. Policies and procedures are developed and will be implemented for oversight of collection and reporting of DMH required performance measures as designated by the Bureau of Behavioral Health Services Division of Substance Use Disorders (or your service area(s), e.g. ID/DD, C&Y, SMI). ABC agency will perform systematic analysis of serious incidents, periodic analysis of DMH required client-level data collection, review of agency provider-wide Recovery and Resiliency Activities, and oversight for the development and implementation of DMH required Plans of Compliance at least quarterly or more often as needed. ABC agency will collect required demographic data to monitor and evaluate cultural competency and the need for Limited English Proficiency services. ABC agency will adhere to any DMH required cultural competency and linguistic check list to ensure agency compliance.

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No need for additional information for SUD service provision; however, Rule 9.1.A.4 will need to be addressed for all IDD HCBS service providers.

Another example of policy development is as follows:

Chapter 12: Staff Orientation, Development, and Continuing Education Rule 12.1 General Information

- A. ABC agency will develop and maintain personnel records on all staff members including full-time, part-time, seasonal, contractual, volunteer, and/or student interns. These personnel records will include General Orientation training, Annual Staff Development Plan, Continuing Education (CE) opportunities dependent upon job classification, and population-specific training (e.g. Narcan training, poly pharmacy training, etc.).
- B. Individualized staff development plans are outlined in other sections of these policies and procedures.
- C. ABC agency will include initial and continuing training and educational activities which will enable staff to perform their duties effectively, efficiently, and competently.
- D. ABC agency will adhere to all DMH-required educational activities, including, but not limited to, the required cultural competency training listed in chapter 12 of the DMH Operational Standards. The training will be aligned with the National Standards for Culturally and Linguistically Appropriate Services (CLAS) to advance health equity, improve quality of services, and eliminate disparities.

- E. Trainers providing DMH-required orientation, staff development, and continuing education activities/training topics must be qualified as evidenced by their education, training, credential(s), and/or experience whether from ABC agency or from external agencies.
- F. Documentation of staff orientation, development, education, and training activities must be included in the staff training and/or personnel records. This documentation may include certificates of completion, official transcripts, and/or the following information:
 - 1. Name of training,
 - 2. Trainer(s) name and credentials,
 - 3. Date of training,
 - 4. Length of time spent in training,
 - 5. Topics covered.

Documentation of employee General Orientation must be kept in the employee's personnel file. An example of documentation is as follows:

EMPLOYEE NAME:	HIRE DATE:	DATE OF TRAINING	
Inigo Montoya	January 1. 2025	January 2-10, 2025	
POSITION	F/T O	OR VOLUNTEER	
Therapist	Full-time		

Topic covered	Date of	Trainer	Time/Format	Employee
_	Training			Signature
Overview of Agency P&P	1/02/2025	Susie May,	1 hr/In-	
and Mission		Clinical Director	Person	
DMH Operational	1/02/2025	Susie May,	1 hr/In-	
Standards		Clinical Director	Person	
DMH Record Keeping	1/02/2025	Susie May,	30 min/In-	
		Clinical Director	Person	
Basic First Aid	1/03/2025	Jaque Russo, RN	1 hr/In-	
		_	Person	
CPR	1/03/2025	Jaque Russo, RN	5 hr/In-	
		_	Person	
Infection Control	1/06/2025	Jaque Russo, RN	Self-	
		_	paced/Relias	
a. Universal Precautions	1/06/2025	Jaque Russo, RN	Self-	
		_	paced/Relias	
b. Handwashing	1/06/2025	Jaque Russo, RN	Self-	
_		_	paced/Relias	
c. Food Safety and	n/a	n/a	n/a	
Handling				
Workplace Safety				

(a) Fire and disaster	1/06/2025	Mark Ingles, ABC	Self-	
training		Safety Officer	paced/Relias	
(b) Emergency/disaster	1/06/2025	Mark Ingles, ABC	Self-	
response		Safety Officer	paced/Relias	
(c) Incident reporting	1/06/2025	Mark Ingles, ABC	Self-	
		Safety Officer	paced/Relias	
(d) Vulnerable Persons	Signed	Mark Ingles, ABC	Self-	
Act/reporting of	attestation	Safety Officer	paced/Relias	
suspected abuse, neglect,	1/02/2025	·	I	
or exploitation (including				
signed				
acknowledgement of				
reporting				
responsibilities)				
responsibilities)				
Rights of People	1/06/2025	Glenda Fields,	30 min	
0 1	1/00/2023	MSW	30 11111	
Receiving Services	1/06/2025		20	
Confidentiality	1/06/2025	Glenda Fields,	30 min	
D		MSW		
Family Cultural Issues	1/06/2025	Glenda Fields,	1 hr	
and Respecting Cultural		MSW		
Differences				
Basic standards of ethical	1/06/2025	Glenda Fields,	1 hr	
and professional conduct		MSW		
(a) Drug Free Workplace	1/06/2025	Glenda Fields,	30 min	
		MSW		
(b) Sexual Harassment	1/06/2025	Glenda Fields,	30 min	
		MSW		
(c) Acceptable	1/06/2025	Glenda Fields,	30 min	
professional		MSW		
organization/credentialing				
standards and guidelines				
as appropriate to				
discipline (e.g., Principles				
of Ethical and				
Professional Conduct,				
ACA Code of Ethics,				
Social Work Code of				
Ethics, APA Code of				
Ethics, or NADSP Code				
of Ethics)				
	1/6/2025	John Looka ADC	Relias	
Principles and Procedures	1/0/2023	John Locke, ABC	ixellas	
for Behavior Support		Training Director		
Overdose management				
and other emergency				
procedures				
Clinical and				
pharmacotherapy issues				

Special populations to include women and		
seniors		
Poly-drug addiction		
Human		
Immunodeficiency Virus		
(HIV)/AIDS,		
Tuberculosis (TB), and		
other infectious diseases.		

Agencies may include internal processes and procedures within policies and procedures addressing DMH Operational Standards but cannot eliminate required DMH policies.

*****IMPORTANT NOTE**: Policies and Procedures is not the Agency Handbook.

Please note that each component under a Standard/Rule must be addressed. For example:

Rule 9.1 Quality Assurance

This standard has 9.1.A.1 through 9.1.E.4.(d) Your agency must address each standard: 9.1.A.1; 9.1.A.2; 9.1.A.3 and 9.1.A.4 (if applicable), 9.1.B, 9.1.C, 9.1.D, 9.1.E.1-4 (if applicable)

*****IMPORTANT NOTE**: If a Standard/Rule is not applicable to your agency/program of service, please indicate Not Applicable (N/A) for that standard.